

Name (given name and surname name): \_\_\_\_\_

Company (full company name): \_\_\_\_\_ Contact: \_\_\_\_\_

VPS account no. / custodian: \_\_\_\_\_ National ID / passport no. / organisation no.: \_\_\_\_\_

Bank details (include name of bank, SWIFT and IBAN): \_\_\_\_\_

GIIN number (applicable to Foreign Financial Institutions as defined under FATCA): \_\_\_\_\_

TIN-number (investors liable to taxation outside of Sweden): \_\_\_\_\_

## Subscription

For cut-off times see page two.

- Subscriber acting on its own behalf  
 Subscriber acting on behalf of third parties as Nominee

## Redemption

For cut-off times and settlement days see page two.

Redemptions of units will normally be settled within 2-4 banking days.

## Fund switch

Switching between funds with different settlement times and/or management company, may cause subscription and redemptions to receive different valuation days.

Redemption and subscription between funds are done in one transaction.

Fund	Account details SWIFT/IBAN	Switch +/-	Amount	Units	Sub./red. fee
<b>Pareto ESG Global Corporate Bond (Pareto SICAV)</b> <input type="checkbox"/> A SEK (-) <input type="checkbox"/> A EUR (-) <input type="checkbox"/> A DIS SEK (-) <input type="checkbox"/> A DIS USD (-) <input type="checkbox"/> B DIS SEK (50 M) <input type="checkbox"/> B (50 M) <input type="checkbox"/> H EUR (5 M) <input type="checkbox"/> I SEK (400 M)	9198 087 6004				0.50%/0.50%
<b>Pareto Nordic Corporate Bond (Pareto SICAV)</b> <input type="checkbox"/> A NOK (-) <input type="checkbox"/> C SEK (-) <input type="checkbox"/> D SEK (50 M) <input type="checkbox"/> H EUR (5 M) <input type="checkbox"/> I NOK (400 M)	9198 087 6004				0.50%/0.50%
<b>Pareto Nordic Cross Credit (Pareto SICAV)</b> <input type="checkbox"/> A SEK (-) <input type="checkbox"/> H SEK (50 M)	9198 087 6004				0.50%/0.50%
<b>Pareto Nordic Equity</b> <input type="checkbox"/> B SEK (-) <input type="checkbox"/> B EUR (-) <input type="checkbox"/> D SEK (50 M) <input type="checkbox"/> D NOK (50 M)	9198 087 6004				1.00%/0.50%
<b>Pareto Global</b> <input type="checkbox"/> B SEK (500) <input type="checkbox"/> I NOK (100 M)	DNBANOKK NO77 1250 0806 433				1.00%/0.50%
<b>Pareto Aksje Norge</b> <input type="checkbox"/> B NOK (500) <input type="checkbox"/> I NOK (100 M)	DNBANOKKXXX NO63 7050 0631 277				1.00%/0.50%

## NEW CLIENTS

Registered address: \_\_\_\_\_

Postal address: \_\_\_\_\_

Telephone: \_\_\_\_\_ Mobile: \_\_\_\_\_ E-mail: \_\_\_\_\_

Citizenship: \_\_\_\_\_ Legal residence: \_\_\_\_\_

Place of birth: \_\_\_\_\_ Country of birth: \_\_\_\_\_

### Customer due diligence

The following identification documents are required upon the initial subscription. Subsequent subscriptions do not require the documents to be sent again, if the investor's situation has not changed.

#### Private individuals and individuals acting on behalf of legal persons

Swedish driver's license, passport, ID-card issued by the Swedish government or a Swedish certified ID-card, foreign passport or other foreign ID-document showing citizenship and is issued by a government agency or authorized issuer (a copy of a foreign documents should always be preserved).

#### Legal persons

Certificate of registration or other equivalent documents.

A client declaration must be completed for all new clients.

For companies acting as Nominee, please complete annex I

#### **Electronic communication**

The undersigned consent to, that information directed to the client personally, may be distributed by e-mail from the time the client has provided an e-mail address to Pareto Asset Management AS. The client also agrees that all information required by the Act on Securities Funds and related regulations as well as other applicable regulations can be communicated through the website [www.paretoam.com](http://www.paretoam.com).

#### **Prospectus and KIID**

The funds' Prospectuses and KIIDs are available upon request and at [www.paretoam.com/en/fund-reports](http://www.paretoam.com/en/fund-reports).

#### **Client reporting**

Pareto Asset Management provides for electronic reporting through personal login to **MIN SIDE** at [www.paretoam.com](http://www.paretoam.com).

Place: \_\_\_\_\_ Date: \_\_\_\_\_ Authorised signature: \_\_\_\_\_

Signatory's name (capital letters): \_\_\_\_\_

The undersigned acknowledges having received and familiarised himself/herself with the most recent valid prospectus, including any supplements, articles of association, KIID including important information on page two of this form. The undersigned confirms that personal information is accurate and that changes will be communicated to Pareto Asset Management AS. For subsequent transactions submitted by e-mail or another durable medium, the conditions for subscription is presented in the most recent subscription form, prospectus and KIID, as set out at [www.paretoam.com](http://www.paretoam.com).

**Pareto Asset Management AS, filial Sweden**

Organisation number: 516409-4152  
Registered address: Berzelii Park 9, Box 7415, 103 91 Stockholm, Sverige  
Telephone: +46 8 402 50 00  
E-mail: [post@paretoam.com](mailto:post@paretoam.com)

**Pareto Asset Management AS, huvudkontor**

Organisation number: 977 287 677  
Postal address: Postboks 1810, Vika, NO-0123 Oslo, Norge  
Registered address: Dronning Mauds gate 3, 0250 Oslo  
Telephone: +47 22 87 87 00, fax: +47 22 01 58 50  
E-mail: [post@paretoam.com](mailto:post@paretoam.com)

**General information**

The funds are only directed at investors in jurisdictions where the funds are authorised for sale, marketing and distribution, or where no such authorisation is required.

The funds are not registered under the United States Securities Act of 1933 or the U. S. Investment Companies Act 1940, or other applicable US regulation. In view of this, the funds may not be marketed, transmitted or otherwise distributed to "US persons" as defined under Regulation S.

Pursuant to the Norwegian Act relating to cancellation rights/cooling-off periods, consumers may have the right to cancel agreements concerning financial services products concluded by distance selling or outside the permanent place of business. If an agreement is entered into by distant

selling, the right of cancellation does not apply to services where the price depends on fluctuations in the financial markets, in which Pareto Asset Management AS cannot control, this includes financial instruments such as mutual funds. The information required by consumer law is available at [www.paretoam.com/en/client-information](http://www.paretoam.com/en/client-information).

If the subscription is transmitted to Pareto Asset Management AS from a distributor on behalf of a client, the distributor may receive remuneration from Pareto Asset Management AS. Please contact Pareto Asset Management AS or the distributor for further information.

**Personal information**

The Client agrees that Pareto Asset Management AS store and process personal information in accordance with the Act on processing of personal data of 14 April 2000.

The client further agrees that Pareto Asset Management AS can use the information in connection with electronic information and marketing of their products and services.

Pareto Asset Management AS undertakes to process personal information in accordance with the prevailing privacy laws.

Personal data may be disclosed to the securities registries, partner banks and other partners to the extent this is necessary for the service offered. In addition, Pareto Asset Management AS may disclose personal data, when required pursuant to law or regulation.

Fund	Currency	Org. no.	ISIN	Min. initial subscription	Management fee	Cut-off sub.	Cut-off redemption
Pareto ESG Global Corp. Bond A*	SEK	B 152898	LU1199945780	-	1.00%	11:00 (GMT+1)	11.00 (GMT+1), settlement T+2
Pareto ESG Global Corp. Bond A*	EUR	B 152898	LU1327537681	-	1.00%	11:00 (GMT+1)	11.00 (GMT+1), settlement T+2
Pareto ESG Global Corp. Bond A	SEK	B 152898	LU1700414375	-	1.00%	11:00 (GMT+1)	11.00 (GMT+1), settlement T+2
Pareto ESG Global Corp. Bond A	USD	B 152898	LU1968604873	-	1.00%	11:00 (GMT+1)	11.00 (GMT+1), settlement T+2
Pareto ESG Global Corp. Bond B*	SEK	B 152898	LU1199945947	50 000 000	0.55%	11:00 (GMT+1)	11.00 (GMT+1), settlement T+2
Pareto ESG Global Corp. Bond B	SEK	B 152898	LU1700414532	50 000 000	0.55%	11:00 (GMT+1)	11.00 (GMT+1), settlement T+2
Pareto ESG Global Corp. Bond H*	EUR	B 152898	LU1199946242	5 000 000	0.55%	11:00 (GMT+1)	11.00 (GMT+1), settlement T+2
Pareto ESG Global Corp. Bond I*	SEK	B 152898	LU1476748642	400 000 000	0.40%	11:00 (GMT+1)	11.00 (GMT+1), settlement T+2
Pareto Nordic Corp. Bond A*	NOK	B 152898	LU0922130215	-	1.00%	11:00 (GMT+1)	11.00 (GMT+1), settlement T+2
Pareto Nordic Corp. Bond C*	SEK	B 152898	LU0922130561	-	1.00%	11:00 (GMT+1)	11.00 (GMT+1), settlement T+2
Pareto Nordic Corp. Bond D*	SEK	B 152898	LU1311574955	50 000 000	0.55%	11:00 (GMT+1)	11.00 (GMT+1), settlement T+2
Pareto Nordic Corp. Bond H	EUR	B 152898	LU1311575093	5 000 000	0.55%	11:00 (GMT+1)	11.00 (GMT+1), settlement T+2
Pareto Nordic Corp. Bond I	NOK	B 152898	LU1615620413	400 000 000	0.40%	11:00 (GMT+1)	11.00 (GMT+1), settlement T+2
Pareto Nordic Cross Credit A	SEK	B 152898	LU2023199479	-	0.55 %	11:00 (GMT+1)	11.00 (GMT+1), settlement T+2
Pareto Nordic Cross Credit H	SEK	B 152898	LU2023200152	50 000 000	0.40 %	11:00 (GMT+1)	11.00 (GMT+1), settlement T+2
Pareto Global B	SEK	988 504 556	NO0010660434	500	1.60%	12:00 (GMT+1)	12.00 (GMT+1), settlement T+2
Pareto Global I	NOK	988 504 556	NO0010740624	100 000 000	0.50%	12:00 (GMT+1)	12.00 (GMT+1), settlement T+2
Pareto Nordic Equity B	SEK	B 152898	LU1653072758	-	1.60%	11:00 (GMT+1)	11.00 (GMT+1), settlement T+2
Pareto Nordic Equity B	EUR	B 152898	LU1904797575	-	1.60%	11:00 (GMT+1)	11.00 (GMT+1), settlement T+2
Pareto Nordic Equity D	SEK	B 152898	LU2023202794	50 000 000	0.75%	11:00 (GMT+1)	11.00 (GMT+1), settlement T+2
Pareto Nordic Equity D	NOK	B 152898	LU1653072915	50 000 000	0.75%	11:00 (GMT+1)	11.00 (GMT+1), settlement T+2
Pareto Aksje Norge B	NOK	883 610 512	NO0010297898	500	2.00%	12:00 (GMT+1)	12.00 (GMT+1), settlement T+2
Pareto Aksje Norge I	NOK	883 610 512	NO0010110968	100 000 000	0.50%	12:00 (GMT+1)	12.00 (GMT+1), settlement T+2

\*Requests for subscription/redemption must be received the day before trade date.

**Costs/Charges**

I: The sub-fund/unit class is charged a performance-related management fee. Please refer to the respective fund's prospectus/supplement for more information.

II: Degressive management fee based on number of units held. Please refer to the respective fund's prospectus for more information.

**Unit-holder register**

Sub-funds of Pareto Nordic UCITS Plc and Pareto SICAV have secondary registration in VPS, the Norwegian Central Securities Depository. (Verdipapirsentralen ASA, P. O. Box 4, 0051 Oslo).

**Risk and reward**

Historical returns are no guarantee for future returns. Future returns will depend, inter alia, on market developments, the fund manager's skill, the fund's risk profile, as well as fees for subscription, management and redemption. The return may become negative as a result of negative price developments.